

Aaron Matthew McLaughlin CFP®

SEAMOUNT FINANCIAL GROUP, INC.

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This Brochure Supplement provides information about Aaron McLaughlin that supplements the Seamount Financial Group, Inc. Brochure. You should have received a copy of that Brochure. Please contact Leon Colafrancesco, Chief Compliance Officer at the phone number listed above or leonc@seamountfinancial.com if you did not receive Seamount Financial Group, Inc.'s Brochure or if you have any questions about the contents of this supplement.

Additional information about Aaron McLaughlin is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD# 5909837.

Item 2 Educational Background and Business Experience

Aaron Matthew McLaughlin CFP®

CRD: 5909837

Year of Birth: 1985

Formal Education:

- University of California, Irvine, Personal Financial Planning Certificate Program, 2014
- Colorado State University, B.S. Psychology, 2007

Business Background for the Previous Five Years:

- Seamount Financial Group, Inc., Investment Adviser Representative, 04/2011 - Present
- H. Beck, Inc., Registered Representative, 04/2011 - Present
- Wells Fargo Bank, Personal Banker, 08/2009 - 03/2011

Certifications: **CFP**

The CERTIFIED FINANCIAL PLANNER, CFP® and federally registered CFP® (with flame design) marks (collectively, the "CFP marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 63,000 individuals have obtained CFP® certification in the United States. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The

Standards prominently require that CFP professionals provide financial planning services at a fiduciary standard of care. This means CFP professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 Disciplinary Information

Aaron McLaughlin has no reportable disciplinary information.

Item 4 Other Business Activities

Aaron Matthew McLaughlin is a Registered Representative with H. Beck, Inc. Mr. McLaughlin is also separately licensed as an independent insurance agent. In these capacities, Mr. McLaughlin may recommend securities and/or insurance products as part of your investment portfolio or wealth planning process. If you purchase these products through Mr. McLaughlin, he may receive commission-based compensation in his separate capacity as a Registered Representative and/or as an insurance agent. The receipt of additional compensation may give Mr. McLaughlin a financial incentive to recommend securities and/or investment products to you. However, you are under no obligation, contractually or otherwise, to purchase securities and/or insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Other than as noted in the above section Other Business Activities, Aaron McLaughlin does not receive any additional compensation beyond the fee-based compensation he receives through Seamount Financial Group, Inc.

Item 6 Supervision

Leon Colafrancesco is responsible for supervising Aaron McLaughlin's advisory activities. Leon Colafrancesco can be reached at (719) 471-1171 or leonc@seamountfinancial.com.