

**Christopher Robert Dorsett, CFP®**

**SEAMOUNT FINANCIAL GROUP, INC.**

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**April 9, 2021**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

**This Brochure Supplement provides information about Christopher Robert Dorsett that supplements the Seamount Financial Group, Inc. Brochure. You should have received a copy of that Brochure. Please contact Leon Colafrancesco, Chief Compliance Officer at (719) 471-1171 if you did not receive Seamount Financial Group, Inc.'s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Christopher Robert Dorsett is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD# 3042525.**

## Item 2 Educational Background and Business Experience

### Christopher Robert Dorsett, CFP®

CRD: 3042525

*Year of Birth:* 1966

*Formal Education:*

- Orange Coast College (Costa Mesa, CA); A.A., General Education, 1990
- California State University (Fullerton, CA); B.S., Finance, 1994.
- College For Financial Planning (Denver, CO); Certified Financial Planner, CFP, 2003

*Business Background for the Previous Five Years:*

- Seamount Financial Group, Inc., Investment Adviser Representative, 12/1998 - Present
- Grove Point Investments LLC, Registered Representative, 10/1999 - Present
- Hagerty, Stewart & Associates; Registered Representative; 09/1997 - 10/1999
- Coca-Cola Bottling Co.; District Sales Manager, 03/1990 - 08/1997

*Certifications:*

The Certified Financial Planner™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

## Item 3 Disciplinary Information

Christopher Robert Dorsett has no reportable disciplinary information.

## **Item 4 Other Business Activities**

Christopher Robert Dorsett is a Registered Representative with Grove Point Investments, LLC. Mr. Dorsett is also separately licensed as an independent insurance agent. In these capacities, Mr. Dorsett may recommend securities and/or insurance products as part of your investment portfolio or wealth planning process. If you purchase these products through Mr. Dorsett, he may receive commission-based compensation in his separate capacity as a Registered Representative and/or as an insurance agent. The receipt of additional compensation may give Mr. Dorsett a financial incentive to recommend securities and/or investment products to you. However, you are under no obligation, contractually or otherwise, to purchase securities and/or insurance products through any person affiliated with our firm.

## **Item 5 Additional Compensation**

Other than as noted in the above section Other Business Activities, Christopher Robert Dorsett does not receive any additional compensation beyond the fee-based compensation he receives through Seamount Financial Group, Inc.

## **Item 6 Supervision**

Leon Colafrancesco is responsible for supervising Christopher Robert Dorsett's advisory activities. Leon Colafrancesco can be reached at (719) 471-1171 or [leonc@seamountfinancial.com](mailto:leonc@seamountfinancial.com).